DEPARTMENT OF TRANSPORTATION U.S. COAST GUARD **OFFICER EVALUATION REPORT (OER) LEVEL II** CG-5312 (Rev. 5-92) (Page 1) 1. ADMINISTRATIVE DATA MM DD a. NAME (Last, First, Middle Initial) b. SSN c. STATUS INDICATOR/SPECIALTY d. GRADE DATE OF RANK f. UNIT g. DIST - OPFAC h OBC i DATE REPORTED j. OCCASION FOR REGULAR REPORT k. EXCEPTION REPORT I. PERIOD OF REPORT Detachment/Change of Detachment of Promotion of Special Concurrent Reporting Officer Semiannual m. REPORTED-ON OFFICER SIGNATURE n. DAYS NOT OBSERVED o. DATE SUBMITTED OTHER 2. DESCRIPTION OF DUTIES: DOCUMENTS ATTACHED: 3. PERFORMANCE OF DUTIES: Measures an officer's ability to get things done. Got caught by the unexpected. Appeared to be controlled by events/crises. Set vague or unrealistic goals, if any. Set wrong priorities. Tended not to follow existing operating procedures, plans, or systems. Not always prepared to meet responsibilities or missions. Anticipated well. Rarely caught unprepared. Set high but realistic goals. Took prompt positive action to meet changing or unexpected situations. Skillfully used existing operating procedures, plans, or systems and "did homework" to stay well prepared for responsibilities and missions. Always ready. Never caught unprepared. Always looked beyond the immediate events/problems. Set the "right" priorities and controlled events. Achieved highest possible state of preparation for accomplishing responsibilities and missions. Turned potential adversity into opportunity. N/O a. BFING PREPARED: Demonstrated ability to anticipate, to identify what must be done, to set priorities, and to prepare for accomplishing unit and organizational missions under both predictable and uncertain conditions. \bigcirc \bigcirc 0 \circ \circ \circ Misallocated resources. Concentrated on unproductive areas or overlooked critical demands. Most effective managing a narrow range of activities. Over/under managed: did not delegate wisely. Under utilized people or "burned" them out. Failed to follow-up. Successfully managed a variety of activities simultaneously with available resources. Cost conscious. Delegated; got jobs done well through others. Used follow-up control effectively; required same of subordinates. Unusually skillful at bringing scarce resources to bear on the most critical demands while managing a spectrum of activities. Consistently "did more with less." Returned unobligated funds instead of spending. Had the "big picture," always knew what was going on. b. USING RESOURCES: Demonstrated ability to delegate, to provide follow-up control, and to utilize people, money, material, and time effectively. 0 \bigcirc \bigcirc \bigcirc \bigcirc \bigcirc Got results which far surpassed your expectations in all situations. Always found ways to do more and do it better in spite of resource constraints. Own work and that of subordinates was consistently of high quality; never needed redoing. Results had significant positive impact on unit and/or Coast Guard. Results met and often exceeded expectations. Fulfilled identified goals and requirements even when resources were scarce. Produced finished quality work and required same from subordinates. Results had a positive impact on unit and/or Coast Guard. Usually obtained results, though sometimes at the cost of extra resources or lost opportunities. Met specified goals in routine situations. Results maintained the status c. GETTING RESULTS: The quality/quantity of the officer's work accomplishments. The effectiveness or impact the results had on the officer's unit and/or the Coast 0 \bigcirc \bigcirc 0 Reported back; kept you informed. Dependably completed projects and met deadlines. Made timely responses to requests, memos, letters and calls. Took changes in policy, direction, or responsibilities in stride. Needed reminding did not report back. Tended to miss due dates or deadlines without justification. Slow or late responding to requests, memos, letters or calls. Resisted changes in policy, direction, or responsibilities Highly conscientious: kept superiors well informed. Always completed projects early. Was unusually prompt in responding to all requests, memos, letters, and calls. Extremely flexible: responded enthusiastically to changes in policy, direction, or responsibilities. d. RESPONSIVENESS: The degree to which the officer responded, replied, or met deadlines in a timely manner. responsibilities to changes responsibilities. 0 0 0 0 \circ Failed to recognize importance of Work-Life in executing responsibilities to CG and personnel. Contributed to imbalance. Showed disregard for Work-Life as management tool. Avoided opportunities to develop expertise including acquisition of essential knowledge or skills. Lacked basic understanding of principles involved and/or knowledge of organization. Superior in-depth knowledge of Work-Life program. Directly contributed to achieving balance among requirements of unit, responsibilities of the billet, personnel, and their families. Developed innovative approaches to promote Work-Life initiatives. Actively pursued greater knowledge/understanding of Work-Life by self and others. Noteworthy examples. Knowledgeable on Work-Life principles, issues, and resources. Translated that knowledge into effective action for benefit of unit and personnel. Showed appreciation for significance of Work-Life to Coast Guard recruiting, retention, and productivity. e. WORK-LIFE SENSITIVITY/ FXPFRTISF: EXPERIISE:
The acquisition and use of both knowledge and skills to enhance the overall quality of life and general welfare of CG members and their families. The officer's interest in and level of support for CG Work-Life and related programs regardless of billet. 0 \bigcirc 0 \bigcirc Failed to meet acceptable standards or demonstrate satisfactory progress in operational or specialty qualification. Required excessive guidance or supervision. Experienced difficulty grasping concepts or demonstrating proficiency. Failed to maintain qualifications. Recommendations were occasionally unreliable. Avoided opportunities to further develop or demonstrate operational or specialty expertise. Competent authority on specialty or operational issues. Excellent acquisition and application of operational or specialty expertise (knowledge and skills) for assigned duties. Needed minimal supervision. Sought increased responsibility. Recommendations were reliable. Showed steady professional growth through education, training, and professional reading. Superior operational or specialty expertise (knowledge and skills). Remarkable grasp of complex issues, concepts, and situations. Rarely needed guidance or supervision. Attitude reflected a follow my lead! approach. Rapid professional growth. An achiever, Advice typically flawless. Professional development beyond requirements. Significant achievements beyond performance of duties. Noteworthy examples. f. OPERATIONAL/SPECIALTY EAPERCLISE:
The acquisition of both knowledge and skills and the demonstration of both technical competency and proficiency in an operational/specify billet. (Includes seamanship, aimmanship, engineering, commercial vessel safety, SAR, law, etc., as appropriate.) 0 0 Significant efficiency or organizational contributions to the unit or Coast Guard, or improvements to existing methods in areas of professional responsibility. Accomplishments had wide-ranging impacts. Noteworthy examples. Rapidly acquired necessary knowledge. Very competent dealing with complex issues, problems, or situations. Adept at determining, and then applying, correct procedures to manage the department or unit efficiently and accomplish command objectives. Rarely needed guidance or supervision. Required excessive guidance or supervision in routine activities. Slow to develop or "come up to speed." g. COLLATERAL DUTY/ ADMINISTRATIVE EXPERTISE: The level of service knowledge, technical and managerial skills the officer demonstrated in collateral duties or in administrative responsibilities. (Includes CMCO, morale, civil rights, committees, etc., as appropriate.) \bigcirc 0 0 0 0 0 0

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| h. COMMENTS: | | | | | | | | |
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| a. WORKING WITH OTHERS: Demonstrated ability to promote a team effort, to cooperate, and to work with other people of units to achieve a common goal. | 1 | Sometimes disregarded the ideas and feelings of others, or caused hostility because of failure to inform or consult. Impatient or impolite talked too much or listened too little. Was inflexible, lost temper or control. Was slow to resolve conflicts. Not a team player. | 3 | is affected by others. Encouraged open expression of ideas and respected the views/ideas of others. Worked comfortably with others of all ranks/positions. Kept others informed: consulted others. Got different people and organizations to work together without mandates. Carried share of load. Helped others resolve conflicts and stay focused on team goals. | 5 | Excelled at getting all ranks/positions to work together. Skillfully used knowledge of group dynamics. Inspired cooperation among diverse individuals or groups. Stimulated open expression of ideas. Channeled group conflict into creative energy; achieved goals not otherwise obtainable. | 7 | N/O |
| b. HUMAN RELATIONS: The degree to which this officer fulfilled the letter and spirit of the Commander's Human Relations Policy in personal relationships and official actions. | <u>O</u> | Exhibited discriminatory tendencies toward others due to their religion, age, sex, race, or ethnic background. Allowed bias to influence appraisals or the treatment of others. Used position to harass others; was disrespectful; made slurring remarks. Did not hold subordinates accountable for their human relations responsibilities. | 0 | Treated others fairly and with dignity regardless of religion, age, sex, race, or ethnic background. Carried out work, training, and appraisal responsibilities without bias. Held subordinates accountable for living up to the spirit of the Commandant's Human Relations Policy. | 0 | Through leadership and demonstrated strong personal commitment, promoted fair and equal treatment of others in all situations, regardess of religion, age, sex, race, or ethnic background. Actively campaigned against prejudicial actions or behavior by others. Made clearly noteworthy contributions to this end. | 0 | |
| | 0 | relations responsibilities. | 0 | 0 | 0 | 0 | 0 | С |
| LEADERSHIP SKILLS: N a. LOOKING OUT FOR OTHERS: The officer's sensitivity and responsiveness to the needs, problems, goals, and achievements of others. b. DEVELOPING SUBORDINATES: The extent to which an officer used coaching, counselling, and training and | Meas 1 | sures an officer's ability to guide, direst showed little concern for the safety, problems, needs, or goals of others. Overlooked or tolerated unfair, insensitive, or abusive treatment of others, but unresponsive to their personal needs. Seldom acknowledged or recognized subordinates' achievements. Showed little interest in training or development of subordinates. May have unnecessarily withheld authority or over-supervised. Did not challenge subordinates' abilities. Tolerated marginal performance, or criticized excessively. | ect, d | evelop, influence, and support others Cared about people. recognized and responded to their needs. Concerned for their safety/well-being. Was accessible. Listened and helped with personal or job-related problems, needs, and goals. When unable to assist, suggested or provided other resources. "Went to fath" for people. Rewarded deserving subordinates in a timely fashion. Provided opportunities and encouraged subordinates to expand their roles, handle important tasks, and learn by doing. Held subordinates accountable; provided timely praise and constructive crificism. Provided | | neir performance of work. Demonstrated a commitment to develop and nurture a caring community in others. Personally ensured resources were available to meet people's needs and that limits of endurance were not exceeded. Was always accessible to others and their problems. Extremely conscientious in ensuring subordinates received appropriate and timely recognition. Created challenging situations which prompted an unusually high level development of people. Unit or work group ran like "clockwork." People always knew what was going on and routinely handled the unexpected. Developed | 0 | N/C |
| provided opportunities for growth to increase the skills, knowledge, and proficiency of subordinates. | 0 | Did not keep subordinates informed; provided little constructive feedback. | 0 | opportunities for training which supported professional growth. | 0 | comprehensive and creative training programs; promoted a commitment to learning and personal development. | 1 | С |
| c. <u>DIRECTING OTHERS:</u> The officer's effectiveness in influencing or directing others in the accomplishment of tasks or missions. | 0 | An officer who had difficulty control- ling and influencing others effectively. Did not instill confidence or enhance cooperation among subordinates and others. Set work standards which were vague or misunderstood. Tolerated late or marginal performance. Faltered in difficult situations. | 0 | A leader who earned the support and commitment of others. Set high work standards and expectations which were clearly understood and required subordinates to meet them. Evenhanded. Kept others motivated and on track even when "the going got tough." | 0 | A strong leader who commanded respect and inspired others to achieve results not normally attainable. People wanted to serve under his/her leadership. Communicated high work standards and expectations which were clearly understood. Got superior results even in time-critical and difficult situations. Won people over rather than imposing will. | | 0 |
| d. EVALUATIONS: The extent to which an officer as Reported-on Officer, Supervisor, Reporting Officer, Reviewer, or Commanding Officer conducted, or required others to conduct, accurate, uninflated, and timely evaluations for | | Failed to prepare and/or submit enlisted, civilian, or officer evaluations which were accurate or timely. Reports were often returned for improvement. Provided little or no counseling to subordinates. Subordinates failed to submit imely, administratively correct, or substantively supported evaluations. Failed to reject reports to subordinates that were unacceptable. | | Prepared uninflated enlisted, civilian, and officer evaluations which were consistently submitted on time. Evaluations clearly performance and behavior against standards. Narratives were fair, concise, descriptive, and contained specific observations. Few evaluations, if any, were returned by COMDT. | | No reports submitted late or returned by COMDT for correction. No reports of subordinates submitted late or returned for correction. Rejected reports to subordinates for improvement to meet high standards. Comments were specific and always supported numerical evaluations. Set high standards for counseling subordinates. | | |
| enlisted, civilian, and officer personnel. | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |

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| ļ | | | Measures an officer's ability to con | nmur | icate in a positive, clear, and convin | cing | | | |
| l | a. <u>SPEAKING AND LISTENING:</u> | 1 | Weak speaking or listening skills. Utilized inappropriate language or mannerisms. Expressed thoughts lacked preparation, confidence, common sense, or logic. Rambled or lost the audience. Failed to listen carefully. Argumentative. Identify specific situations that | 3 | Accomplished speaker; comfortable in both public and private situations. Spoke in an articulate, confident, and credible manner with appropriate gestures and without distracting mannerisms. Not visibly uncomfortable in extemporaneous presentations. Listened attentively to others and the audience. | 5 | Displayed a remarkable ability to identify and discuss key issues, and to express thoughts | 7 | N/O |
| l | How well an officer spoke and listened in individual exchanges, large or small groups, briefings or public situations; demonstrated ability to express verbal thoughts clearly, coherently, logically and extemporaneously. | | Expressed thoughts lacked preparation, confidence, common sense, or logic. Rambled | | articulate, confident, and credible manner with appropriate gestures and without distracting | | discuss key issues, and to express thoughts clearly, coherently, and extemporaneously with credibility. Capitivated and persuaded audiencés. Chosen by superiors to make presentations on complex or sensitive issues, or when audience had unusual significance. | | |
| l | groups, briefings of public situations; demonstrated ability to express verbal | | or lost the audience. Failed to listen carefully. Argumentative. Identify specific situations that | | mannerisms. Not visibly uncomfortable in extemporaneous presentations. Listened | | audiences. Chosen by superiors to make presentations on complex or sensitive issues, | | |
| l | thoughts clearly, coherently, logically and extemporaneously. | \bigcirc | required better skills. | | | | | | |
| ŀ | b. <u>WRITING:</u> | \circ | Written material frequently required revision for | 0 | Writton material set example for brevity, clarity | \cup | Everyoscad complay and controversial material in | 10 | 0 |
| | How well an officer communicated through written material and proofread | | clarity, lack of proofreading, or requirements of the Coast Guard Correspondence or Style | | Written material set example for brevity, clarity, logic, persuasion, and tact. Correspondence | | Expressed complex and controversial material in such a lucid and persuasive way that achievement of stated objectives was materially aided. Meticulous proofreader. Written material responsible for unit achievement or mission accomplishment, or published material brought credit upon CG. Provide noteworthy examples. | | |
| l | before submission; demonstrated ability | | Manuals. | | grammatically correct and appropriate for the audience. Conscientious proofreader. Material from subordinates reflected the same high | | aided. Meticulous proofreader. Written material | | |
| l | to prepare or review communication for superiors, self or subordinates and to | | | | standards. | | accomplishment, or published material brought | | |
| l | express written thoughts clearly, coherently, logically and persuasively. | 0 | 0 | 0 | 0 | | Credit upon CG. Provide noteworthy examples. | 0 | 0 |
| İ | c. COMMENTS: | | <u> </u> | | | | | | |
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| | 9. PERSONAL QUALITIES: a. INITIATIVE: Demonstrated ability to move forward, make changes, and seek responsibility without guidance and supervision. | Mea | ENTS: Sures selected qualities which illustrates a proposed action. Implemented change only when confronted by necessity or directed to do so. Often overtaken by events. May have suppressed initiative of subordinates. Was unsupportive of changes directed by higher authority. | 3 | Strove to do the job better. Developed new ideas, methods, and practices. Got things done. Made improvements; Worked smarfer, not harder." Self-starter; not afraid of making mistakes. Supported new ideas/methods/practices and efforts of others to bring about constructive change. Anticipated problems and took timely action to avoid/resolve them. | 5 | Aggressively sought additional responsibility. Was extremely innovative. Originated, nurtured, promoted, or brought about new ideas, methods, or practices which resulted in significant improvements to unit and/or Coast Guard. Did not promote change for sake of change. Made worthwhile ideas/practices work when others may have given up. | 7 | N/O |
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| f. COMMENTS: | | | | | | | | | | |
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| 10. REPRESENTING THE C | OAST GIIAPD: Maas | cures an officer's | ahility | to bring credit to | the Coast Guar | d through | looks and actions | | | |
| a. MILITARY BEARING: | | | | | | | | er. Clearly set | 7 | N/O |
| The extent to which an officer appeared | traditions, or customs or unwilling to consist | to conform to milita and courtesies. Unab ently appear neat, sma niform and civilian attin | olé rt, | great care in maintai Meticulous grooming | ent officer. Demonst ning and wearing unifo . Immaculate civilian a | orms. atțire. | The typically distinguished office standards for CG uniform a excellence. Set or inspired similar others. Performance of subc exceptional. Exemplified the fines military customs, etiquette and prisible situations. Significant or public recognition. Noteworthy ex | and groóming ar standards in | | |
| neat, smart and well groomed in uniform or civilian attire; conformed to military | and well-groomed in u Standards set in U | niform and civilian attir Iniform Regulations n nce of subordinates wa | e. ot | Precise in render | ring military courte formality, precederence to both rank tame of subordinates. | esies. lence, | exceptional. Exemplified the fines | rdinates was st traditions of | | |
| traditions, customs, and courtesies; and set standards for subordinates' performances. | marginal or unacceptal | ole. | 15 | privilege. Required s | same of subordinates. | anu | visible situations. Significant co | ontributions or | | |
| performances. | 0 |) | 0 | | 0 | 0 | | | 0 | 0 |
| b. <u>PROFESSIONALISM:</u> | Misinformed/unaware and objectives. Bluf | of Coast Guard policion | es nit | Well-versed in how policies, procedure | Coast Guard objectes serve the p | tives, oublic: | The ideal officer to represent the Inspired confidence and trust; cl dedication to CG ideals in both pulife. Worked creatively and crepresentatives of public and go everyone with a very positive im. Coast Guard. | e Coast Guard. | | |
| How an officer applied knowledge and skills in providing services to the public. The manner in which the officer | Misinformed/unaware and objectives. Bluf ignorance. Did little to image of Coast Guar working with others. Infringed on Coast image. | enhance self-image d. Was ineffective who | or en | considered an expostraightforward, coop | ert in some areas. Derative, and evenhand | Was ded in | dedication to CG ideals in both pulife. Worked creatively and co | blic and private onfidently with | | |
| The manner in which the officer represented the Coast Guard. | working with others. I infringed on Coast | _ed a personal life whi Guard responsibilities | ch or | dealing with the public of impact actions/in | lic and government. <i>A</i> npressions may caus | Aware se on | representatives of public and go everyone with a very positive im- | vernment. Left age of self and | | |
| | _ . | | ١ ـ | reinforced CG image | ess serve the pert in some areas. Service the pert in some areas. Serative, and evenhand lic and government. An oppressions may caus CG ideals. Persona | al life | Coast Guard. | | | |
| c. DEALING WITH THE PUBLIC: | Appeared ill-at-ease v | ン vith the public or medi | a. O | | estly with the public, r | - | Always self-assured and in co | ontrol when | 0 | 0 |
| How an officer acted when dealing | Inconsistent in application public sector. Faltered | vith the public or medi ation of CG programs ad under pressure. Too | to k | and others at all lever Showed no favoritism | els." Responded pron n. Didn't falter when 1 | nptly. faced | dealing with public, media and levels. Straightforward, impartial, | others at all and diplomatic. | | |
| with other services, agencies, businesses, the media, or the public. | antagonistic or conde inappropriate stateme | d under pressure. Too scending approach. Mac ets. Embarrassed Coa | de st | I with difficult situati | ons. Was comfortab Sensitive to cond olic. | nle in l | dealing with public, media and levels. Straightforward, impartial, Applied CG rules/programs fairly Showed unusual social grace. Fi great poise to provocative actions | and uniformly. esponded with | | |
| | Guard in a social situa | non. | | expressed by the pul | OIIC. | 0 | great poise to provocative actions | or others. | | 0 |
| d. COMMENTS: | | <i></i> | | 1 | <u> </u> | | | | | |
| | | | | | | | | | | |
| 11. LEADERSHIP AND POT | TENTIAL. (Describe de | monstrated leadershi | p ability | and overall potential | for greater respons | sibility, pron | notion, special assignment, and | command.) | | |
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| 12. COMPARISON SCALE A | AND DISTRIBUTION. | (Compare this office | cer with | others of the same of | grade whom you hav | ve known i | n your career.) | | | |
| | erformer, Excellent | | | al performer; ver | | | Strongly | BEST O | | |
| | imited recomme ential. increased re | | | , highly respecte fessional. | d performer; g challenging | | | of this | grade |) . |
| grade or smott | | op 0.10.2 | ρ. σ | | leadership as | ssignmen | ts. promotion. | | | |
| O C | |) | | 0 | 0 | | 0 | (|) | |
| 13. REPORTING OFFICER | AUTHENTICATION | L CDADE - CCA | | la - | TITLE OF DOCUTION | | | - DAT | | |
| a. NAME AND SIGNATURE | | b. GRADE c. SSN | | a. | TITLE OF POSITION | | | e. DAT | Ė | |
| 14. REVIEWER AUTHENTION | CATION | COMMENTS A | ATTACHE | D (Required when the | Reporting Officer is n | not a Coast (| Guard Officer.) | | | |
| a. NAME AND SIGNATURE | | b. GRADE c. SSN | | | TITLE OF POSITION | | ······ | e. DATI | <u> </u> | |
| | | | | | | | | | | |
| 15. RETURN ADDRESS. (N | ame and address to which | a copy will be sent wh | en the c | riginal is filed in the | officer's record.) | 16. HE | ADQUARTERS VALIDA | ATION | | |
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| | | | | | | PRIVAC | Y ACT STATEMENT promation is requested under the | e authority of | 14 9 | S.C. |
| | | | | | | 633 to | ormation is requested under the determine an officer's suitabilitient. Submission of this info | y for promot | on or | job |
| 1 | | | | ı | | Failure | to provide it could adver nities and job assignments or lea | sely affect | promo | tion |
| L | | | | | | Opportui | ililes and job assignments or le | au io discipiina | ıı y actı | UII. |